

# Financial plan preparation checklist

How to plan for the **financial future you envision**



**Please spend some time thinking about your specific goals and financial objectives.**

Examples include:

- What would you like for your wealth to accomplish?
- How would you define your ideal retirement?
- How do you plan to protect and transfer your wealth?
- Who and what means most to you?

**In addition to your goals, we will need personal and financial information.\* Please gather the following and forward to our attention:**

- |   |  |
|---|--|
| – Retirement plan statements (i.e., 401[k], 403[b], IRA, ESOP, Keogh) | – Life insurance and disability coverage information |
| – Current statements for brokerage and bank accounts (outside UBS)    | – Company employee benefits/pension information      |
| – Previous year's federal and state income tax statements             | – Stock option statements/information                |
| – Social Security information   | – Mortgage statements                                |
| – Assets including business interests                                 | – Home equity line/loan statements                   |

**Ruggiero Wealth Management**  
**UBS Financial Services Inc.**

600 Superior Avenue East, 27th Floor  
Cleveland, OH 44114  
216-736-8317  
ruggiero@ubs.com

**[ubs.com/team/ruggiero](https://ubs.com/team/ruggiero)**

\*This information will allow for us to complete a comprehensive and detailed plan that will accurately reflect your progress in relation to your goals. As always, all conversations and information that you share with us will remain confidential.

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